

Please type a plus sign (+) inside this box ☐

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/006,172
		Filing Date	December 6, 2001
		First Named Inventor	Kevin P. BAKER
		Group/Art Unit	1647
		Examiner Name	Landsman, Robert S.
Total Number of Pages in This Submission	12 pages	Attorney Docket Number	39780-2830 PIC11

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt  <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Copy of Preliminary Amendment as Filed; Copy of Updated Filing Receipt; and a Return Receipt Postcard
Remarks AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual name	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature			
Date	January 26, 2005	Customer Number:	35489/09157

CERTIFICATE OF MAILING (37CFR 1.10)			
I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service (Express Mail No. EL 977 675 088 US) addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450			
Typed or printed name	Jason A. Gonzalez		
Signature		Date	January 26, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



01-28-05

Receipt  
IFW**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. BAKER, et al. Docket No.: 39780-2830 P1C11  
Serial No.: 10/006,172 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Landsman, Robert S  
For: **PRO1347 POLYPEPTIDES**

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:


--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100,627 filed 9/16/1998.--

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: <b>EL 977 675 088 US</b>			
Typed or print name	Jason A. Gonzalez		
Signature		Date	January 26, 2005

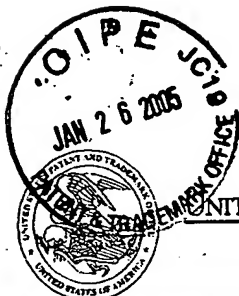
The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830 P1C11).

Respectfully submitted,

Dated: January 26, 2005

  
\_\_\_\_\_  
Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
Customer No. 35489/09157  
275 Middlefield Road  
Menlo Park, CA 94025  
Tel: (650) 324-7000  
Fax: (650) 324-0638



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,172	12/06/2001	1645	740	P2830P1C11	249	13	2

CONFIRMATION NO. 1455

## FILING RECEIPT



\*OC000000007302261\*

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
Suite 1150  
201 California Street  
San Francisco, CA 94111

Date Mailed: 01/14/2002

Réceipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin P. Baker, Darnestown, MD;  
David Botstein, Belmont, CA;  
Luc Desnoyers, San Francisco, CA;  
Dan L. Eaton, San Rafael, CA;  
Napoleone Ferrara, San Francisco, CA;  
Sherman Fong, Alameda, CA;  
Wei-Qiang Gao, Palo Alto, CA;  
Audrey Goddard, San Francisco, CA;  
Paul J. Godowski, Hillsborough, CA;  
J. Christopher Grimaldi, San Francisco, CA;  
Austin L. Gurney, Belmont, CA;  
Kenneth J. Hillan, San Francisco, CA;  
James Pan, Belmont, CA;  
Nicholas F. Paoni, Belmont, CA;  
Margaret Ann Roy, San Francisco, CA;  
Victoria Smith, Burlingame, CA;  
Timothy A. Stewart, San Francisco, CA;  
Daniel Tumas, Orinda, CA;  
Colin K. Watanabe, Moraga, CA;  
P. Mickey Williams, Half Moon Bay, CA;  
William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,803 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,821 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,843 09/02/1998  
AND CLAIMS BENEFIT OF 60/099,536 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,596 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,598 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,602 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,642 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,741 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,754 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,763 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,792 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,808 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,812 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,815 09/10/1998  
AND CLAIMS BENEFIT OF 60/099,816 09/10/1998  
AND CLAIMS BENEFIT OF 60/100,385 09/15/1998  
AND CLAIMS BENEFIT OF 60/100,388 09/15/1998  
AND CLAIMS BENEFIT OF 60/100,390 09/15/1998  
AND CLAIMS BENEFIT OF 60/100,584 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,627 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,661 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,662 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,664 09/16/1998  
AND CLAIMS BENEFIT OF 60/100,683 09/17/1998  
AND CLAIMS BENEFIT OF 60/100,684 09/17/1998  
AND CLAIMS BENEFIT OF 60/100,710 09/17/1998  
AND CLAIMS BENEFIT OF 60/100,711 09/17/1998  
AND CLAIMS BENEFIT OF 60/100,848 09/18/1998  
AND CLAIMS BENEFIT OF 60/100,849 09/18/1998  
AND CLAIMS BENEFIT OF 60/100,919 09/17/1998  
AND CLAIMS BENEFIT OF 60/100,930 09/17/1998  
AND CLAIMS BENEFIT OF 60/101,014 09/18/1998  
AND CLAIMS BENEFIT OF 60/101,068 09/18/1998  
AND CLAIMS BENEFIT OF 60/101,071 09/18/1998  
AND CLAIMS BENEFIT OF 60/101,279 09/22/1998  
AND CLAIMS BENEFIT OF 60/101,471 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,472 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,474 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,475 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,476 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,477 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,479 09/23/1998  
AND CLAIMS BENEFIT OF 60/101,738 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,741 09/24/1998  
THIS APPLICATION 10/006,172  
CLAIMS BENEFIT OF 60/101,743 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,915 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,916 09/24/1998  
AND CLAIMS BENEFIT OF 60/102,207 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,240 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,307 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,330 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,331 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,570 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,571 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,684 10/01/1998  
AND CLAIMS BENEFIT OF 60/102,687 10/01/1998  
AND CLAIMS BENEFIT OF 60/102,965 10/02/1998  
AND CLAIMS BENEFIT OF 60/103,258 10/06/1998  
AND CLAIMS BENEFIT OF 60/103,314 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,315 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,328 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,395 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,396 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,401 10/07/1998  
AND CLAIMS BENEFIT OF 60/103,449 10/06/1998  
AND CLAIMS BENEFIT OF 60/103,633 10/08/1998  
AND CLAIMS BENEFIT OF 60/103,678 10/08/1998  
AND CLAIMS BENEFIT OF 60/103,679 10/08/1998  
AND CLAIMS BENEFIT OF 60/103,711 10/08/1998  
AND CLAIMS BENEFIT OF 60/104,257 10/14/1998  
AND CLAIMS BENEFIT OF 60/104,987 10/20/1998  
AND CLAIMS BENEFIT OF 60/105,000 10/20/1998  
AND CLAIMS BENEFIT OF 60/105,002 10/20/1998  
AND CLAIMS BENEFIT OF 60/105,104 10/21/1998  
AND CLAIMS BENEFIT OF 60/105,169 10/22/1998  
AND CLAIMS BENEFIT OF 60/105,266 10/22/1998  
AND CLAIMS BENEFIT OF 60/105,693 10/26/1998  
AND CLAIMS BENEFIT OF 60/105,694 10/26/1998  
AND CLAIMS BENEFIT OF 60/105,807 10/27/1998  
AND CLAIMS BENEFIT OF 60/105,881 10/27/1998  
AND CLAIMS BENEFIT OF 60/105,882 10/27/1998  
AND CLAIMS BENEFIT OF 60/106,023 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,029 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,030 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,032 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,033 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,062 10/27/1998  
AND CLAIMS BENEFIT OF 60/106,178 10/28/1998  
AND CLAIMS BENEFIT OF 60/106,248 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,384 10/29/1998  
AND CLAIMS BENEFIT OF 60/108,500 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,464 10/30/1998  
THIS APPLICATION 10/006,172  
CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,905 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,919 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,932 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,934 11/03/1998  
AND CLAIMS BENEFIT OF 60/107,783 11/10/1998  
AND CLAIMS BENEFIT OF 60/108,775 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,779 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,787 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,788 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,801 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,802 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,806 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,807 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,848 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,849 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,850 11/18/1998

AND CLAIMS BENEFIT OF 60/108,851 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,852 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,858 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,867 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,904 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,925 11/17/1998  
AND CLAIMS BENEFIT OF 60/113,296 12/22/1998  
AND CLAIMS BENEFIT OF 60/114,223 12/30/1998  
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999  
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

**Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
PCT/US99/30095 12/16/1999  
PCT/US00/00219 01/05/2000  
PCT/US00/00376 01/06/2000  
PCT/US00/03565 02/11/2000  
PCT/US00/04342 02/18/2000  
PCT/US00/05004 02/24/2000  
PCT/US00/05841 03/02/2000  
PCT/US00/06884 03/15/2000  
PCT/US00/13705 05/17/2000  
PCT/US00/14042 05/22/2000  
PCT/US00/14941 05/30/2000  
PCT/US00/15264 06/02/2000  
PCT/US00/23328 08/24/2000  
PCT/US00/23522 08/23/2000  
PCT/US00/30873 11/10/2000  
PCT/US00/30952 11/08/2000  
PCT/US00/32678 12/01/2000  
PCT/US01/06520 02/28/2001  
PCT/US01/06666 03/01/2001  
PCT/US01/17800 06/01/2001  
PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted 01/11/2002**

**Projected Publication Date:** To Be Determined - pending completion of Sequence Disclosures

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





Patent Docket P2830P1C11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/006172  Filed: 12/6/2001	Group Art Unit: Not yet assigned
	Examiner: Not yet assigned

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  
August 29, 2002  
*Patricia Terrill*  
Patricia Terrill

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the

**Patent Docket: P2830P1C11**

**Serial No.: 10/006172**

**Filed: 12/6/2001**

National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100627 filed 9/16/1998.--

Patent Docket: P2830P1C11  
Serial No.: 10/006172  
Filed: 12/6/2001


REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,  
GENENTECH, INC.

Date: August 29, 2002

By:

  
Elizabeth M. Barnes, Ph. D.  
Reg. No. 35,059  
Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

**Patent Docket: P2830P1C11**  
**Serial No.: 10/006172**  
**Filed: 12/6/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.